

## UK LISTING RULES (AMENDMENT) INSTRUMENT 2026

### Powers exercised

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 73A (Part 6 Rules);
  - (2) section 96 (Obligations of issuers of listed securities); and
  - (3) section 137T (General supplementary powers).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

### Commencement

- C. This instrument comes into force on 24 April 2026.

### Amendments to the Handbook

- D. The UK Listing Rules sourcebook (UKLR) is amended in accordance with the Annex to this instrument.

### Citation

- E. This instrument may be cited as the UK Listing Rules (Amendment) Instrument 2026.

By order of the Board  
23 April 2026

## Annex

### Amendments to the UK Listing Rules sourcebook (UKLR)

In this Annex, underlining indicates new text and striking through indicates deleted text.

#### 6 Equity shares (commercial companies): continuing obligations

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##### 6.4 Notifications

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Notifications relating to capital

6.4.4 R A *listed company* must notify a ~~RIS~~ RIS as soon as possible (unless otherwise indicated in this ~~rule~~ rule) of the following information relating to its capital:

...

- (2) any redemption of *listed shares*, including details of the number of *shares* redeemed and the number of *shares* of that *class* outstanding following the redemption; and
- (3) any extension of time granted for the currency of temporary documents of title; ~~and,~~
- (4) ~~the results of any new issue of equity securities or a public offering of existing equity securities.~~ [deleted]

6.4.5 R ~~Where the securities are subject to an underwriting agreement, a listed company may, at its discretion and subject to the obligations in article 17 of the Market Abuse Regulation, delay notifying a RIS as required by UKLR 6.4.4R(4) for up to 2 business days until the obligation by the underwriter to take or procure others to take securities is finally determined or lapses. In the case of an issue or offer of securities which is not underwritten, notification of the result must be made as soon as it is known.~~ [deleted]

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#### 13 Equity shares (shell companies): requirements for listing and continuing obligations

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##### 13.3 Continuing obligations

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## Notifications relating to capital

- 13.3.20 R A *listed shell company* must notify a *RIS* as soon as possible (unless otherwise indicated in this *rule*) of the following information relating to its capital:
- ...
- (2) any redemption of *listed shares*, including details of the number of *shares* redeemed and the number of *shares* of that *class* outstanding following the redemption; and
  - (3) any extension of time granted for the currency of temporary documents of title; and.
  - (4) ~~the results of any new issue of *listed equity securities* or of a public offering of existing *shares* or other *equity securities*. [deleted]~~
- 13.3.21 R ~~Where the *shares* are subject to an underwriting agreement, a *listed shell company* may, at its discretion and subject to the *disclosure requirements* and contents of *DTR 2*, delay notifying a *RIS* as required by *UKLR 13.3.20R(4)* for up to 2 *business days* until the obligation by the underwriter to take or procure others to take *shares* is finally determined or lapses. In the case of an issue or offer of *shares* which is not underwritten, notification of the result must be made as soon as it is known. [deleted]~~

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## 14 Equity shares (international commercial companies secondary listing): requirements for listing and continuing obligations

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### 14.3 Requirements with continuing application

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## Notifications relating to capital

- 14.3.17 R A *listed company* must notify a *RIS* as soon as possible (unless otherwise indicated in this *rule*) of the following information relating to its capital:
- ...
- (2) any redemption of *listed shares*, including details of the number of *shares* redeemed and the number of *shares* of that *class* outstanding following the redemption; and
  - (3) any extension of time granted for the currency of temporary documents of title; and.

- (4) ~~the results of any new issue of *listed equity securities* or of a public offering of existing *shares* or other *equity securities*. [deleted]~~

14.3.18 R ~~Where the *shares* are subject to an underwriting agreement, a *listed company* may, at its discretion and subject to the *disclosure requirements* and contents of *DTR 2*, delay notifying a *RIS* as required by *UKLR 14.3.17R(4)* for up to *2 business days* until the obligation by the underwriter to take or procure others to take *shares* is finally determined or lapses. In the case of an issue or offer of *shares* which is not underwritten, notification of the result must be made as soon as it is known. [deleted]~~

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## 16 Non-equity shares and non-voting equity shares: requirements for listing and continuing obligations

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### 16.3 Continuing obligations

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#### Notifications relating to capital

16.3.16 R A *listed company* must notify a *RIS* as soon as possible (unless otherwise indicated in this *rule*) of the following information relating to its capital:

...

- (2) any redemption of *listed shares*, including details of the number of *shares* redeemed and the number of *shares* of that *class* outstanding following the redemption; and
- (3) any extension of time granted for the currency of temporary documents of title; and
- (4) ~~the results of any new issue of *listed equity securities* or of a public offering of existing *shares* or other *equity securities*. [deleted]~~

16.3.17 R ~~Where the *shares* are subject to an underwriting agreement, a *listed company* may, at its discretion and subject to the *disclosure requirements* and contents of *DTR 2*, delay notifying a *RIS* as required by *UKLR 16.3.16R(4)* for up to *2 business days* until the obligation by the underwriter to take or procure others to take *shares* is finally determined or lapses. In the case of an issue or offer of *shares* which is not underwritten, notification of the result must be made as soon as it is known. [deleted]~~

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## 22 Equity shares (transition): continuing obligations

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**22.2 Continuing obligations**

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## Notifications relating to capital

22.2.17 R A *listed company* must notify a *RIS* as soon as possible (unless otherwise indicated in this *rule*) of the following information relating to its capital:

...

- (2) any redemption of *listed shares*, including details of the number of *shares* redeemed and the number of *shares* of that *class* outstanding following the redemption; and
- (3) any extension of time granted for the currency of temporary documents of title; and
- (4) ~~the results of any new issue of *listed equity securities* or of a public offering of existing *shares* or other *equity securities*. [deleted]~~

22.2.18 R ~~Where the *shares* are subject to an underwriting agreement, a *listed company* may, at its discretion and subject to the *disclosure requirements* and contents of *DTR 2*, delay notifying a *RIS* as required by *UKLR 22.2.17(4)* for up to 2 *business days* until the obligation by the underwriter to take or procure others to take *shares* is finally determined or lapses. In the case of an issue or offer of *shares* which is not underwritten, notification of the result must be made as soon as it is known. [deleted]~~

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