

HANDBOOK ADMINISTRATION (No 75) INSTRUMENT 2025

Powers exercised

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the powers and related provisions in the following sections of the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
 - (2) section 137T (General supplementary powers); and
 - (3) section 138C (Evidential provisions).
- B. The rule-making provisions listed above are specified for the purposes of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 3 October 2025.

Amendments to the Handbook

- D. The Training and Competence sourcebook is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Handbook Administration (No 75) Instrument 2025.

By order of the Board
2 October 2025

Annex

Amendments to the Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text and striking through indicates deleted text.

App 4 Appropriate Qualification tables

App 4.1 Appropriate Qualification tables

TC App 4.1 is relevant to *TC* 2.1.10E (selecting an appropriate qualification)

...

Extent to which the qualification meets the qualification requirement in relation to non-RDR activities

...

App 4.1.1D E Part 2: Appropriate Qualifications Tables

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Part 2A: Appropriate Qualifications offered

Qualification provider	Qualification	Activity Number(s)	Key
...			
Chartered Institute for Securities and Investment (CISI) - (Formerly the Securities and Investment Institute (SII); formerly The Securities Association)	CISI Level 7 Diploma in Wealth Management (also known as the Chartered Wealth Manager Qualification) (Formerly known as Masters in Wealth Management (Post 2010 examination standards))	2, 3, 4 and 6, 12, 13	a
	<u>Certificate in Private Client Investment Advice and Management</u>	<u>14 and 10</u>	<u>1</u>
	...		
...			

Part 2B: Appropriate Qualifications no longer offered

Qualification provider	Qualification	Activity Number(s)	Key
...			
Chartered Institute for Securities and Investment (CISI) - (Formerly the Securities and Investment Institute (SII); formerly The Securities Association)	...	...	...
	Certificate in Private Client Investment Advice and Management (Pre January 2022)	2, 3, 4 and 6, 12, 13	b
		14 and 10	1
	...	...	...
...			